

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*Sectord*

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached thereto were deposited with the United States Postal Service on November 8, 2001 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Jennifer C. Gross

Attorney Docket No.: CISCP064C1

First Named Inventor: Dhaval N. Shah

Application No. 09/964,268

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:  
Dhaval N. Shah and Richard Johnson

For: SYSTEM AND METHOD FOR INFORMATION RETRIEVAL REGARDING SERVICES

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is  
herein supplied is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard



Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and  
desired.



Other:

Amendments

Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u>	<u>OR</u>	<u>LARGE ENTITY</u>
			<u>RATE</u>	<u>Fee</u>	<u>RATE</u>
BASIC FEE			\$355	\$	OR
TOTAL CLAIMS	<u>22</u>	-20 = <u>2</u>	x9	= \$	OR
INDEP CLAIMS	<u>4</u>	-03 = <u>1</u>	x40	= \$	OR
[ ] Multiple Dependent Claim Presented			\$130	= \$	OR
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR
					Total \$956.00

Applicants petition for a two (2) month extension of time to respond under 37 CFR § 1.136(a).

Check No. 0373 in the amount of \$956.00 is enclosed.

General Authorizations

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. CISCP064C1 ).

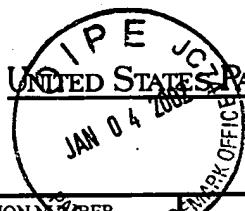
The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. CISCP064C1 ).

Please send correspondence to the following address:

**Customer No. 21912**  
VAN PELT & YI LLP  
4906 El Camino Real, Suite 205  
Los Altos, CA 94022

Date: 10/8/01

  
Susan C. Yi  
Reg. No. 39,883



UNITED STATES PATENT AND TRADEMARK OFFICE

**COMMISSIONER FOR PATENTS**  
**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**WASHINGTON, D.C. 20231**  
**[www.uspto.gov](http://www.uspto.gov)**

APPLICATION NUMBER 09/964,268	FILING/RECEIPT DATE 09/25/2001	FIRST NAMED APPLICANT Dhaval N. Shah	ATTORNEY DOCKET NUMBER CISCP064C1
----------------------------------	-----------------------------------	---	--------------------------------------

21912  
RITTER VAN PELET & YI, L.L.P.  
4906 EL CAMINO REAL  
SUITE 205  
LOS ALTOS, CA 94022

**CONFIRMATION NO. 9948**



\*OC000000006980301\*

Date Mailed: 10/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$116.
  - \$36 for 2 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 956.**

*A copy of this notice MUST be returned with the reply.*

---

## **Customer Service Center**

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

/09/2002 BNGUYEN1 000000080 500685 09964268  
**FC-103** -36.00 -36.00  
 FC102 84.00 84.00  
 FC105 130.00 130.00  
 FC101 706.00 706.00  
**FC-103**  
**FC102**  
**FC105**  
**FC101**

Ref: 01/15/2002 SSALIEKU 00100204300  
 Name/Number: 09964268  
**704** \$36.00 CR